

# SMALL ENTITY DECLARATION

APPLICANT OR PATENTEE ANDERSEN, M.M.  
 SERIAL NO. 08/030,594 ☐ PATENT NO.                      ATTORNEY'S  
 DOCKET NO. P8281-P002

XX 1 FILED OR ISSUED February 2, 1999

2. SUBMITTED HEREWITH                       
 FOR RHODANOLICALLY ACTIVE PEPTIDES AND COMPOSITIONS, THEIR USE  
 (Insert Title)

I (we) hereby declare that I (we) am (are) entitled to the benefit of small entity status with respect to the above-identified application or patent for purposes of paying reduced fees under 35 U.S.C. §§41(a) and (b) to the U.S. Patent and Trademark Office.

- ☐ A. **INDEPENDENT INVENTOR**  
 I (we) qualify as (an) independent inventor(s) as defined in 37 C.F.R. §1.9(c).
- ☐ B. **INDIVIDUAL NON-INVENTOR**  
 I (we) would qualify as (an) independent inventor(s) as defined in 37 C.F.R. §1.9(c) if I (we) had made the invention.
- ☐ C. **SMALL BUSINESS CONCERN**  
 I am ☐ THE OWNER ☐ AN OFFICIAL of the small business concern identified below and am empowered to act on behalf of the concern. The concern qualifies under 37 C.F.R. §1.9(d) and 13 C.F.R. §121.3-18. Rights under contract or law have been conveyed to and remain with the concern. The rights held by the concern are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 C.F.R. §1.9.
- ☒ D. **NON-PROFIT ORGANIZATION**  
 I am an official empowered to act on behalf of the non-profit organization identified below. The organization qualifies under 37 C.F.R. §1.9(a), subsection: W (1) ☐ (2) ☐ (3) ☐ (4). Rights under contract or law have been conveyed to and remain with the organization. The rights held by the organization are exclusive unless a checkmark is placed here ☒. All other rights belong to small entities as defined in 37 C.F.R. §1.9.

I (we) acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate [37 C.F.R. §1.28(b)].

I (we) declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

A. INDEPENDENT INVENTOR(S) B. INDIVIDUAL NON-INVENTOR(S)

Name Signature Date

Name Signature Date

Name Signature Date

C. BUSINESS CONCERN D. NON-PROFIT ORGANIZATION

The University of Alberta  
 Name of Concern or Organization

222 CANADIAN TOWER, 8025-112 ST. EDMONTON, AB,  
 Address CANADA, T6C 2E1

By  
 Name of Person Signing

Laine Woollard  
 Signature

Title

April 8, 1999  
 Date

11/11/99 October 1999

LAINE WOOLLARD, B.Sc. (PHARM), LL.B.  
 BARRISTER AND SOLICITOR, NOTARY PUBLIC  
 INTELLECTUAL PROPERTY AND LEGAL MANAGER  
 INDUSTRY LIAISON OFFICE, U OF A

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# SMALL ENTITY DECLARATION

APPLICANT OR PATENTEE ANDERSEN et al

SERIAL NO. 09/030,684 ☐ PATENT NO.                      ATTORNEY'S DOCKET NO. P8281-8002

☒ 1. FILED OR ISSUED February 2, 1998

☐ 2. SUBMITTED HEREWITH                       
FOR BIOLOGICALLY ACTIVE PEPTIDES AND COMPOSITIONS, THEIR USE  
(Insert Title)

I (we) hereby declare that I (we) am (are) entitled to the benefit of small entity status with respect to the above-identified application or patent for purposes of paying reduced fees under 35 U.S.C. §§41(a) and (b) to the U.S. Patent and Trademark Office.

☐ A. INDEPENDENT INVENTOR

I (we) qualify as (an) independent inventor(s) as defined in 37 C.F.R. §1.9(c).

☐ B. INDIVIDUAL NON-INVENTOR

I (we) would qualify as (an) independent inventor(s) as defined in 37 C.F.R. §1.9(c) if I (we) had made the invention.

☐ C. SMALL BUSINESS CONCERN

I am ☐ THE OWNER ☐ AN OFFICIAL of the small business concern identified below and am empowered to act on behalf of the concern. The concern qualifies under 37 C.F.R. §1.9(d) and 13 C.F.R. §121.3-18. Rights under contract or law have been conveyed to and remain with the concern. The rights held by the concern are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 C.F.R. §1.9.

☒ D. NON-PROFIT ORGANIZATION

I am an official empowered to act on behalf of the non-profit organization identified below. The organization qualifies under 37 C.F.R. §1.9(e), subsection: ☒ (1) ☐ (2) ☐ (3) ☐ (4). Rights under contract or law have been conveyed to and remain with the organization. The rights held by the organization are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 C.F.R. §1.9.

I (we) acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate [37 C.F.R. §1.28(b)].

I (we) declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

A. INDEPENDENT INVENTOR(S) B. INDIVIDUAL NON-INVENTOR(S)

_____ Name	_____ Signature	_____ Date
_____ Name	_____ Signature	_____ Date
_____ Name	_____ Signature	_____ Date

C. BUSINESS CONCERN D. NON-PROFIT ORGANIZATION

The University of British Columbia  
Name of Concern or Organization  
**Richard D. Spratley**  
By Director, Research Services  
Name of Person Signing  
\_\_\_\_\_  
Title

IRC Room 331-2194 HEALTH SCIENCES MALL  
VANCOUVER, B.C. V6T 1Z3  
[Signature]  
Signature  
**APR 08 1999**  
Date

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